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## **2019.05.07 [ SAMR Notice No.153 2019] SAMR Notice on Clarifications of CCC Exemption Work Arrangement**

On 7<sup>th</sup> May, SAMR- State Administration of Market Regulations announced CCC exemption work arrangement by [ SAMR Notice No.153 2019]. After government shuttled on last July, the new established SAMR clarified the process of CCC Exemption in its organization. SAMR clarified the conditions, requirements of applicant and work requirements of CCC Exemptions in the notice. The new process starts enforcement since 1<sup>st</sup>April 2019. The applicant could apply on <http://cccmb.cnca.cn> without personal on the site.

The original Chinese notice please refer to:

[http://gkml.samr.gov.cn/nsjg/rzjgs/201905/t20190508\\_293471.html](http://gkml.samr.gov.cn/nsjg/rzjgs/201905/t20190508_293471.html)

EuropElectro offers the following unofficial English translation of the notice for your reference. For the notice in Chinese, please scroll down.

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*Should you have any questions or require more detailed information, please contact Ms. Wang Xu at [xu.wang@europelectro.org](mailto:xu.wang@europelectro.org) or Tel. +86 10 65396715.*

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## **SAMR Notice on Clarifications of CCC Exemption Work Arrangement**

### **[ SAMR Notice No.153 2019]**

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The local market supervision departments have accepted the work of exemptions from compulsory product certification (hereinafter referred to as CCC Exemptions) since 1<sup>st</sup> April , 2019.

In order to further regulate and facilitate the review and supervision of CCC Exemptions, the relevant issues are as follows:

#### 1. Conditions for CCC Exemptions

(1) Products and samples required for scientific research, testing and certification testing. The scientific research referred to in this article, refers to the required products which is studied for developing and produce relevant products, and does not refer to instruments required for the study work;

The testing referred to in this article, refers to the product tested to obtain test data, or for testing part of the performance of a product, the product must be used (such as development and testing of a kind of printing software, it need to import a small quantity of some type printers );

The certification test referred to in this article, refers to the sample of the type test conducted by the CCC certification.

(2) Parts and components required for maintenance purposes directly for the end user.

(3) Parts and components (excluding office supplies) of equipments required for the assembly production line of a factory production line.

(4) Products that are only used for commercial exhibition but not for sale.

(5) Parts imported for the purpose of exporting the finished equipment.

(6) Other circumstances in which compulsory product certification is exempted for special purposes.

#### 2. Requirements for CCC Exemptions

The qualified applicant shall submit CCC application to the Local provincial level market supervision departments. The necessary materials refer to the annex. The procedures are

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as following,

- (1) Products and samples required for scientific research, testing and certification testing. Applicants for such products shall be the organizations who dealing with the research, development and testing. Applicants for CCC test must be the applicants of CCC. Such products and samples are not allowed for sales and supply to consumer use.
- (2) Parts and components required for maintenance purposes directly for the end user. The exemption applicant for such parts and components shall be the maintenance organizations (including the finished vehicle centralized purchaser, warehouse supplier's designated parts buyer) or the end user. The quantity of parts and components should be controlled within a reasonable range.
- (3) Parts and components (excluding office supplies) of equipments required for the assembly production line of a factory production line. Applicants for such equipment parts must be factory companies that use such equipment parts.
- (4) Products that are only used for commercial exhibition but not for sale. The applicant for such products shall be the company responsible for commercial display. The applicant shall indicate the time of the display and the way the product is handled after the display (not for sale or for use by ordinary consumers) in the application materials, to ensure that it does not change the use of the product.
- (5) Parts imported for the purpose of exporting the finished equipment. Applicants for such parts shall be factory companies that use such parts. The applicant shall, within two weeks after the promised export of the finished product in the application materials, go through the verification procedures with the market supervision department for verification by the market supervision department.

### 3. Work Requirements of CCC Exemptions

- 1) Local provincial level market supervision departments are responsible for approval of CCC exemption. It could be delegated to the city level.
- 2) Local provincial level market supervision departments shall ensure the necessary work staffs, office supply and work conditions. And within 5 working days from the date of accepting the application, the audit shall be completed and issue a CCC exemption certificate
- 3) Local provincial level market supervision departments shall strictly compliance with the relevant provisions of the CCC exemption, follow the principle of effective supervision and convenience of applicants, and strengthen internal supervision and follow-up

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supervision on the use of CCC exemptions.

- 4) Local provincial level market supervision departments may require the applicant to retain the corresponding application materials (including various types of evidence materials) stamped with the official seal of the enterprise for 2 years for the market supervision department to verify.
- 5) To acceptance and review of the CCC exemption certificate, it is realized online application and management of the whole process (management system website: <http://cccmb.cnca.cn>), the applicant does not need to go to the site for processing.
- 6) Local provincial level market supervision departments are encouraged to use the measures and methods of credit management and classification management to do an excellent job in CCC exemption review and follow-up supervision.

This notice shall be implemented as of the date of issuance. The relevant regulations and regulatory documents that are inconsistent with this notice shall be subject to the contents of this notice.

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**Annex: CCC Exemptions Review and Follow-up Supervision Requirements**

<b>CCC Exemption Conditions:</b>	<b>Requirements for Applicants</b>	<b>Application Materials for CCC Exemptions (Each material shall be stamped by company official seal)</b>	<b>Follow-up Supervision Requirements</b>
<p>Condition 1 : Products and samples required for scientific research, testing and certification testing.</p>	<p>the organizations who dealing with the research, development and testing; the applicants of CCC.</p>	<ol style="list-style-type: none"> <li>1. Applicant's business license;</li> <li>2. The follow-up management commitment;</li> <li>3. The test plan, project plan for research, development ;</li> <li>4. Import contract, invoice or bill of lading with product details ;</li> <li>5. the notice to send samples issued by CCC designated certification bodies (including the authorized applicant, full name of a sample, types, quantity, etc.).</li> </ol>	<ol style="list-style-type: none"> <li>1. For destruction, the applicant shall retain the destruction evidence materials (such as videos, photos, etc.)</li> <li>2. For returns, the applicant shall retain 'Export Customs Declaration' etc. evidences.</li> </ol>
<p>Condition 2: Parts and components required for maintenance purposes directly for the end user.</p>	<p>the maintenance organizations (including the finished vehicle centralized purchaser, warehouse supplier's designated parts buyer) or the end user.</p>	<ol style="list-style-type: none"> <li>1. Applicant's business license;</li> <li>2. The follow-up management commitment;</li> <li>3. Import contract, invoice or bill of lading with product details ;</li> <li>4. Declaration of compliance with national safety standards for the corresponding product.</li> </ol>	<p>No need for export write-off</p>

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<p>Condition 3: Parts and components (excluding office supplies) of equipments required for the assembly production line of a factory production line</p>	<p>Applicants for such equipment parts must be factory companies that use such equipment parts.</p>	<ol style="list-style-type: none"> <li>1. Applicant's business license;</li> <li>2. The follow-up management commitment;</li> <li>3. The evidence materials of the assembly production line of a factory production line;</li> <li>4. Import contract, invoice or bill of lading with product details</li> </ol>	<p>No need for export write-off</p>
<p>Condition 4: Products that are only used for commercial exhibition but not for sale.</p>	<p>the company responsible for commercial display</p>	<ol style="list-style-type: none"> <li>1. Applicant's business license;</li> <li>2. The follow-up management commitment;</li> <li>3. Import contract, invoice or bill of lading with product details ;</li> <li>4. Declaration of compliance with national safety standards for the corresponding product</li> </ol>	<ol style="list-style-type: none"> <li>1. For destruction, the applicant shall retain the destruction evidence materials (such as videos, photos, etc.)</li> <li>2. For returns, the applicant shall retain 'Export Customs Declaration' etc. evidences.</li> </ol>
<p>Condition 5: Parts imported for the purpose of exporting the finished equipment.</p>	<p>factory companies that use such parts</p>	<ol style="list-style-type: none"> <li>1. Applicant's business license;</li> <li>2. The follow-up management commitment;</li> <li>3. The export contract of the finished goods</li> <li>4. Import contract, invoice or bill of lading with product details</li> </ol>	<p>the applicant shall retain 'Export Customs Declaration' etc. evidences.</p>

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## 市场监管总局关于明确免于办理强制性产品认证工作要求的通知

### 国市监认证函（2019）153号

标题：市场监管总局关于明确免于办理强制性产品认证工作要求的通知

索引号：2019-1557305930000

发文日期：2019年05月07日

各省、自治区、直辖市及新疆生产建设兵团市场监管局（厅、委）：

根据《市场监管总局 海关总署关于免于办理强制性产品认证工作有关安排的公告》（市场监管总局、海关总署公告2019年13号）的要求，各地市场监管部门自2019年4月1日起承接免于办理强制性产品认证（以下简称CCC免办）相关工作。为进一步规范和便利CCC免办证明的审核监管，现就有关事项明确如下：

#### 一、符合CCC免办的条件

（一）为科研、测试和认证检测所需的产品和样品。

本款所称科研，是指对该产品进行科学研究，以开发、生产出相关产品所需的产品，并不是指进行研究工作所需的科研器材；

本款所称测试，是指对该产品进行测试以获得测试数据，或测试某一产品的部分性能所必须用到的该产品（如开发测试某一型号的打印机软件，需进口少量该型号打印机）；

本款所称认证检测，是指CCC认证所进行型式试验的样品。

（二）直接为最终用户维修目的所需的零部件产品。

（三）工厂生产线成套生产线配套所需的设备零部件（不含办公用品）。

（四）仅用于商业展示但不销售的产品。

（五）以整机全数出口为目的进口的零部件。

（六）其他因特殊用途免于办理强制性产品

#### 二、CCC免办的办理要求

符合CCC免办条件的申请人，应当向所在地市场监管部门提交CCC免办申请。申请人应提交的材料以及后续监管要求，详见附件。具体办理要求如下：

（一）为科研、测试和认证检测所需的产品和样品。此类产品的免办申请人必须是对此类产品进行研究、开发、测试的机构。CCC认证检测样品的免办申请人必须是CCC认证委托人。此类产品和样品均不得销售或提供给普通消费者使用。

（二）直接为最终用户维修目的所需的零部件产品。此类零部件产品的免办申请人必须是维修单位（包括整机整车集中采购商仓储商其指定的零部件采购商）或者最终用户。零部件产品的数量应当控制在合理范围内。

（三）工厂生产线成套生产线配套所需的设备零部件（不含办公用品）。此类设备零部件的

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免办申请人必须是使用此类设备零部件的工厂公司。

（四）仅用于商业展示但不销售的产品。此类产品的免办申请人必须是负责商业展示的公司，申请人应当在申请资料中表明展示的时间及展示后该产品的处理方式（不得销售或提供给普通消费者使用），保证其不改变产品的用途。

（五）以整机全数出口为目的进口的零部件。此类零部件的免办申请人必须是使用此类零部件的工厂公司。申请人应当在申请材料中承诺成品出口后两周内向市场监管部门办理核销手续，以备市场监管部门核查。

### 三、CCC 免办的工作要求

（一）CCC 免办工作由各省、自治区、直辖市及新疆生产建设兵团市场监管局（厅、委）实施，或者视情况下放给下级市场监管部门实施。各省、自治区、直辖市及新疆生产建设兵团市场监管局（厅、委）应统筹管理。

（二）各地市场监管部门应配备并保障必要的人员、办公设备及工作条件，并自受理申请之日起 5 个工作日内，完成审核并出具 CCC 免办证明。

（三）各地市场监管部门应严格遵守 CCC 免办相关规定，遵循监管有效及便利申请人原则，加强内部监督管理和对 CCC 免办使用情况的后监管。

（四）各地市场监管部门可要求申请人留存相应的加盖企业公章的申请资料（含各类证明性资料），留存期 2 年，以备市场监管部门核查。

（五）CCC 免办证明的受理、审核，实现全程电子化申请和管理（管理系统网址：<http://cccmb.cnca.cn>），申请人无须到现场办理。

（六）支持并鼓励各地市场监管部门运用诚信管理、分类管理的措施和方法，做好 CCC 免办审核和后续监管工作。

本通知自印发之日起施行。与本通知不一致的有关规章和规范性文件，以本通知内容为准。



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**附件: CCC 免办审核及后续监管要求**

免办条件	申请人要求	申请 CCC 免办提交的材料 (每份材料均须加盖公章)	后续监管要求
条件 1: 为科研、测试和认证检测所需的产品和样品	对此类产品进行研究、开发、测试的机构; CCC 认证委托人	1. 申请人营业执照; 2. 后续管理承诺书; 3. 本次研究、开发、测试计划书项目书; 4. 附有产品明细的进口合同、发票或提单; 5. CCC 指定认证机构出具的 CCC 认证送样通知书 (含认证委托人、样品全称、规格型号、数量等信息)。	1. 销毁处理的, 申请人须保留销毁处理证明材料 (如视频、照片等); 2. 退运的, 申请人须留存《出口报关单》等证明材料。
条件 2: 直接为最终用户维修目的所需的零部件产品	维修单位 (包括整机整车集中采购商仓储商其指定的零部件采购商) 或者最终用户	1. 申请人营业执照; 2. 后续管理承诺书; 3. 附有产品明细的进口合同、发票或提单; 4. 关于相应产品符合国内安全标准的承诺。	无须出口核销
条件 3: 工厂生产线成套生产线配套设备零部件 (不含办公用品)	使用此类设备零部件的工厂公司	1. 申请人营业执照; 2. 后续管理承诺书; 3. 该工厂生产线成套设备生产线的相关证明材料; 4. 附有产品明细的进口合同、发票或提单。	无须出口核销
条件 4: 仅用于商业展示但不销售的产品	负责商业展示的公司	1. 申请人营业执照; 2. 后续管理承诺书; 3. 附有产品明细的进口合同、发票或提单; 4. 关于相应产品符合国内安全标准的承诺。	1. 销毁处理的, 申请人须保留销毁处理证明材料 (如视频、照片等); 2. 退运的, 申请人

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			须留存《出口报关单》等证明材料。
条件 5: 以整机全数出口为目的进口的零部件	使用此类零部件的工厂公司	1. 申请人营业执照; 2. 后续管理承诺书; 3. 成品出口合同; 4. 附有产品明细的进口合同、发票或提单。	申请人须留存《出口报关单》等证明材料。