Normative Document on Enterprise’ Declaration of Conformity on the Pollution Control of Electrical and Electronic Products

Ministry of Industry and Information Technology
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Normative Document on Enterprise’ Declaration of Conformity on the Pollution Control of Electrical and Electronic Products

This normative document is formulated with a view to further implement “Measures for the Administration of the Pollution Control of Electronic or Electrical Products” (hereinafter referred to as “China RoHS Regulation”), establishing and improving the conformity assessment system of pollution control of electronic or electrical products.

I. Application Scope

Electrical & electronics product: refers to equipment and auxiliary products dependent on electric currents or electromagnetic fields in order to work properly and equipment for the generation, transfer and measurement of such currents and fields, and equipment designed for use with a voltage rating not exceeding 1 000 Volt for alternating current and 1 500 Volt for direct current.

This normative document applies to those who manufacture electronic and electrical products in China and those who import electronic and electrical products to China. Enterprise must be responsible for the consistency of the products and their declarations.

II. Contents of the declaration

For all electronic and electrical products, the contents of “Declaration of Conformity” shall at least include hazardous substances contained in the products, environment-friendly use period, and names of packing materials” requested by “China RoHS Regulation”, and the conformity assessment results for the evidence of conforming to the requirements of maximum concentration values of restricted substances in the products in relevant national and industrial standards. For the specific formats and concrete contents of declaration of conformity please refer to “Technical Guidance for Declaration of Conformity on the Pollution Control of Electrical and Electronic Products (annex 1)”

III. Supporting documents of the declaration

Producer shall establish and implement internal “pollution control management procedures” to ensure the products to conform to “China RoHS Regulation”, related standards or the maximum concentration values requirements of hazardous substances by customers. In addition, producer shall or organize corresponding documents according to “Technical Guidance for Declaration of Conformity on the Pollution Control of Electrical and Electronic Products (annex 1)”.

IV. Methods of declaration

In order to manage the work of producers’ Declaration of Conformity on the Pollution Control of Electrical and Electronic Products conducted by producers and importers, and meanwhile considering the specific characteristics of the relevant information of the pollution control of electrical and electronic products, this “Declaration of Conformity” shall be registered in the appointed agent to obtain registration number. Disclosures of “Declaration of Conformity” will be on public information service platform.

(i) Self-Assessment
Manufacturing companies perform conformity self-assessment of their products according to “supporting documents of declaration” to ensure that the products fulfil the requirements in “China RoHS Regulation”, related standards, or the maximum concentration values requirements of hazardous substances by customers.

(ii) Registration
Based on the self-assessment results, manufacturing companies register the self-assessment results in the appointed agent in the format of “Declaration of Conformity on the Pollution Control of Electrical and Electronic Products”.

Unofficial English translation offered by EuropElectro, for reference only
(iii) Retention Duration
After the registration of “Declaration of Conformity”, necessary actions should be taken to maintain that the mentioned products in “Declaration of Conformity” are in accordance with the contents of declaration continuously. The “supporting documents of declaration” should be well-preserved in case of a necessary inspection by related authorities till 10 years later after the last batch of mentioned products put on the market.

V. Registration requirements
Manufacturing companies shall register the contents of “Declaration of Conformity” in the appointed agent before relevant product promotions.
Enterprises shall upload the related information of “Declaration of Conformity” on the public information service platform. Enterprises will obtain a registration number after the procedure automatically verifies the content complete of the declaration.
Annex 1

Technical Guidance for Declaration of Conformity on the Pollution Control of Electrical and Electronic Products

I. Content requirements of the declaration
The registration content of Declaration of Conformity on the Pollution Control of Electrical and Electronic Products shall at least include the items below:

- Name of the declared product;
- Model and type of the declared product;
- Trademark of the declared product;
- Factory and its address of the declared product;
- Manufacturer and its contact information of the declared product;
- Pollution control mark of the declared product;
- Name and content table of the hazardous substances or elements in the declared product (this table is not required when the products are marked in green label);
- Name and contact information of the declarer;
- Name and position of the authorized declaration person;
- When the restricted hazardous substances in the declared product meet a maximum concentration values requirements, the declaration shall also include:
  - Titles of the laws and regulations related to the maximum concentration values requirements the declared product meets, or Titles and numbers of national standards or industrial standards, or Titles and numbers of the customer’s enterprise standards the declared product meets;
  - List of supporting documents.

II. Requirements of supporting documents of the declaration:
Manufacturing companies decide the supporting documents used for declaration, according to their internal control management procedure or the evaluated probability of hazardous substances in the constituent materials, parts and/or components of the declared product.

Please refer to the options of support documentations below:

(i) Test report
Producers verify the declared products by testing of themselves or authorized parties and formulate a complete product test report.

(ii) Risk assessment report
Referring to GB/T XXXX, Evaluation guidance of regulated substances in electronic information products (IDT IEC62476), and SJ/Z XXXX, Evaluation guidance of the existing risk of the restricted substances in electronic and information products, producers formulate a risk assessment report of the declared products based on evaluating the existence risk of the hazardous substances in all components in the declared product.

(iii) Pollution control system certificate
According to the standards of hazardous substance management system (e.g. QC080000, GB/T XXXX Requirements of regulated substances management system of electronic and electrical products) to establish and maintain the hazardous substance process management system and acquire the certificate issued by accredited certification bodies.

(iv) Documents of pollution control management procedures
The documented procedure established by the producer shall at least include: product design; process and method of hazardous substances conformity confirmation when the product materials were modified; process and method to ensure the conformity of pollution control in purchasing; process and method to avoid hazardous substances pollution in production, storage and transportation; disposal process and method when nonconforming products in pollution control were discovered.
(v) **State-promoted China RoHS Voluntary Certificate**
Declarer products have passed “State-promoted China RoHS Voluntary Certification” and acquire the certificate.

### III. Submit methods of supporting documents
As to ensure the integrity of the documentations of materials, parts and/or components regarded as supporting documents, producers can take any option of the following documentation combination modes.

(i) **Submit “State-promoted China RoHS Voluntary Certificate”**
Submit the “State-promoted China RoHS Voluntary Certificate” to prove that the products already meet the requirements of related standards or laws and regulations, and that there is surveillance after certified from certification bodies to ensure the following productions to meet related requirements continually.

(ii) **Submit “Test report” plus “Pollution control system certificate” or “Documents of pollution control management procedure”**
Submit “Test report” and “Pollution control system certificate” to prove that all materials, parts and/or components the design adopted conform to “China RoHS Regulation” and/or maximum concentration values requirements of hazardous substances in related international, national or industrial standards; Or submit the implementation performance strictly in accordance to the “Pollution control management procedure” in the procedures of design, material modification, purchase control, production control etc. in order to prove subsequent products meet related requirements continually.

(iii) **Submit “Risk assessment report” plus “Pollution control system certificate” or “Documents of pollution control management procedure”**
Submit the “Risk assessment report” and “Pollution control system certificate” of the materials used in the declared product to decide the conformity of the designed products according to the available data provided by the suppliers; Or submit the implementation performance strictly in accordance to the “Pollution control management procedure” in the procedures of design, material modification, purchase control, production control etc. in order to prove subsequent productions could meet related requirements continually.
Annex 2

The Format of Enterprise’ Declaration of Conformity on the Pollution Control of Electrical and Electronic Products

Enterprise’ Declaration of Conformity on the Pollution Control of Electrical and Electronic Products

Registration number: xxxxxx

1. Product Name:
   Model Number:
   Trade Mark:

2. Name of factory:
   Address of factory:

3. Producer assuming the legal duties for the declared products

4. Contents:
   We herewith declare that the above mentioned products meet the requirements in “Measures for the Administration of the Pollution Control of Electronic or Electrical Products”

The logo of pollution control is as follows (example):

![Pollution Control Logo](image)

Table of names and contents of hazardous substance and elements refer to the declaration is as follows (example):

<table>
<thead>
<tr>
<th>Component Name</th>
<th>Lead(Pb)</th>
<th>Mercury (Hg)</th>
<th>Cadmium (Cd)</th>
<th>Chromium Compounds Cr(VI)</th>
<th>Polybrominated Biphenyls (PBB)</th>
<th>Polybrominated diphenyls Ethers (PBDE)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mainboard</td>
<td>×</td>
<td>○</td>
<td>○</td>
<td>○</td>
<td>○</td>
<td>○</td>
</tr>
<tr>
<td>LCD</td>
<td>○</td>
<td>○</td>
<td>○</td>
<td>○</td>
<td>×</td>
<td>×</td>
</tr>
<tr>
<td>Camera</td>
<td>○</td>
<td>○</td>
<td>○</td>
<td>○</td>
<td>×</td>
<td>×</td>
</tr>
<tr>
<td>Earphone</td>
<td>○</td>
<td>×</td>
<td>○</td>
<td>○</td>
<td>○</td>
<td>○</td>
</tr>
<tr>
<td>Charger</td>
<td>×</td>
<td>○</td>
<td>○</td>
<td>○</td>
<td>○</td>
<td>○</td>
</tr>
<tr>
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<td>...</td>
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<td>...</td>
</tr>
</tbody>
</table>

Note:
○: It indicates that the content of this hazardous substance in all homogeneous materials of the component is below the maximum concentration values required in the GB/T26572-2011 standard.
×: It indicates that the content of this hazardous substance in at least one certain kind of homogeneous material of the component is beyond the maximum concentration values required in the standard GB/T26572-2011.
The mentioned product in the declaration is in accordance with the maximum concentration values required in standards XXX.

5. The filing number of supporting documents (Enterprise’ internal document Number):

Documents list:

<table>
<thead>
<tr>
<th>NO.</th>
<th>Document Name</th>
<th>edition</th>
<th>Pages</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td></td>
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<tr>
<td>...</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>n</td>
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<td></td>
</tr>
</tbody>
</table>

1. Name of the declarer

Contact information of the declarer:
(Address, post code, telephone, email)

2. The declarer will make a commitment that the given information is all truth; otherwise the declarer should bear all relevant legal duties.

The authorized representative, title

Signature: Date: